

## FORMALITIES LETTER



\*OC00000005349428\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/593,173	06/12/2000	Antonio Guarna	1195-003

James V Costigan Esq  
Hedman Gibson & Costigan PC  
1185 Avenue of the Americas Suite 2003  
New York, NY 10036-2646



Date Mailed: 08/24/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$386.
  - \$126 for 7 total claims over 20.
  - \$260 for multiple dependent claim surcharge.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1206.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

11/29/2000 WKOROMA 00000045 081540 09593173  
01 FC:115 110-00 CH  
11/29/2000 WKOROMA 00000045 081540 09593173  
02 FC:101 710.00 CH  
03 FC:105 130.00 CH  
04 FC:103 126.00 CH  
05 FC:104 270.00 CH

SECTOR 4



Docket No.: 1195-003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
PATENT OPERATION

In re Application of:

Antonio Guarna et al.

Serial No.: 09/593,173

Filed: June 12, 2000

For: **BENZO[C]QUINOLIZINE DERIVATIVES AND THEIR USE AS 5  
ALPHA REDUCTASE INHIBITORS**

) Group Art Unit: --

) Examiner: --

New York, NY 10036  
November 21, 2000

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, DC 20231

LETTER TRANSMITTING DECLARATIONS

Sir:

In response to the PTO Notice to File Missing Parts of Application-Filing Date Granted (copy enclosed), dated August 24, 2000, in the above-identified application, enclosed herewith is the executed Declaration, Small Entity Status Declaration, and a check in the amount of \$1,206.00 to cover the basic filing fee and late surcharge fee for the above-identified application which was originally filed without an executed Declaration.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Box MISSING PARTS  
Assistant Commissioner for Patents  
Washington, D.C. 20231

on November 21, 2000

James V. Costigan, Registration No. 25,669

The PTO did not receive the following  
listed item(s) CHECK For \$1,206.00 &  
\$110.00

Also enclosed is a Petition for an Extension of Time and a check for \$110.00 to cover the extension fee.

Please make the Declarations of record in the present application.

The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 08-1540. A duplicate copy of this sheet is enclosed.

Respectfully submitted,



James V. Costigan  
Registration No. 25,669

MAILING ADDRESS:

HEDMAN, GIBSON & COSTIGAN, P.C.  
1185 Avenue of the Americas  
New York, NY 10036-2601  
(212) 302-8989